

Maplewell Hall School



Exam Controlled Assessment Policy

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Governing Body Committee	Curriculum Committee
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Purpose of the policy

This purpose of this policy is to:

- *identify staff responsibilities in planning and managing GCSE controlled assessments;*
[Taken from JCQ [GCSE controlled assessments - Outlining staff responsibilities \(editable template\)](#)]
- *examine potential risks and issues relating to the implementation of controlled assessment for GCSE qualifications and how these might be managed and mitigated through forward planning and remedial actions.*

[Taken from JCQ [GCSE controlled assessments - Risk management process \(editable template\)](#)]

This policy complies with JCQ's 2016/17 [General Regulations](#) 5.8 in that the centre is required to “*have in place, and be available for inspection purposes, a **written** policy with regard to the management of GCSE controlled assessments*”.

This policy does not cover specific [instructions for conducting controlled assessments](#) which are provided by JCQ and awarding bodies.

Outlining staff responsibilities - GCSE controlled assessments

Senior leadership team

- Accountable for the safe and secure conduct of controlled assessments. Ensure assessments comply with JCQ guidelines and awarding bodies' subject-specific instructions.
- At the start of the academic year, begin coordinating with heads of subject to schedule controlled assessments. (It is advisable that controlled assessments are spread throughout the academic years of Key Stage 4.)
- Map overall resource management requirements for the academic year. As part of this resolve:
 - clashes/problems over the timing or operation of controlled assessments;
 - issues arising from the need for particular facilities (rooms, IT networks, time out of school etc);
- Ensure that all staff involved have a calendar of events.
- Create, publish and update an internal appeals policy for controlled assessments.

Heads of Subject

- Decide on the awarding body and specification for a particular GCSE.
- Standardise internally the marking of all teachers involved in assessing an internally assessed component.
- Ensure that individual teachers fully understand their responsibilities with regard to controlled assessment.
- Ensure that individual teachers fully understand the requirements of the awarding body's specification, are familiar with the relevant teachers' notes **and** any other subject specific instructions.
- Where appropriate, develop new assessment tasks or adapt sample awarding body assessment tasks to meet local circumstances, in line with the awarding body's specification and control requirements.

Teaching staff

- Understand and comply with the general guidelines detailed within the JCQ publication *Instructions for conducting controlled assessments*.
- Understand and comply with the awarding body's specification for conducting controlled assessments, including any subject-specific instructions, teachers' notes or additional information on the awarding body's website.
- Supply to the exams office details of all unit codes for controlled assessments.
- Obtain confidential materials/tasks set by awarding bodies in sufficient time to prepare for the assessment(s) and ensure that such materials are stored securely at all times.

- Supervise assessments (at the specified level of control). Undertake the tasks required under the regulations, only permitting assistance to candidates as the specification allows.
- Ensure that candidates and supervising teachers sign authentication forms on completion of an assessment.
- Mark internally assessed components using the mark scheme provided by the awarding body.
- Submit marks to the awarding body by the published deadline, keeping a record of the marks awarded.
- Submit the requested samples of candidates' work to the awarding body moderator by the published deadline, keeping a record of the work submitted.
 - Dispatch work in packaging provided by the awarding body
 - Affix moderator address label(s) provided by the awarding body to the package(s)
 - Obtain proof of dispatch and keep on file until the successful issue of final results

or by agreement with Head of Subject.

- Complete hardcopy marksheets provided by the EO and return to the EO by the internal deadline specified by the EO, keeping a record of the marks awarded.
- Provide the requested samples of candidates' work to the EO by the internal deadline specified by the EO, keeping a record of the work provided.
- Retain candidates' work securely between assessment sessions (if more than one).
- Post-completion, retain candidates' work securely until the closing date for enquiries about results. In the event that an enquiry is submitted, retain candidates' work securely until the outcome of the enquiry and any subsequent appeal has been conveyed to the centre.
- Ask the appropriate special educational needs coordinator (SENCo) or Additional and Exceptional Needs Co-ordinator for any assistance required for the administration and management of access arrangements.

Exams office staff

- Where confidential materials are directly received by the exams office, to be responsible for receipt, safe storage and safe transmission, whether in CD or hard copy format.
 - Download and distribute marksheets for teaching staff to use.
 - **In exceptional circumstances** where controlled assessments cannot be conducted in the classroom, arrange suitable accommodation where controlled assessments can be carried out at the direction of the senior leadership team.
 - Collate teachers' marks and submit by hard copy marksheet or online (via awarding body secure extranet sites) as directed by the awarding body, keeping a record of the marks awarded.
- OR**
- Confirm with teaching staff that marks have been submitted by the awarding body deadline.
 - Submit the requested samples of candidates' work to the awarding body moderator by the published deadline, keeping a record of the work submitted.
 - Dispatch work in packaging provided by the awarding body
 - Affix moderator address label(s) provided by the awarding body to the package(s)
 - Obtain proof of dispatch and keep on file until the successful issue of final results

OR

- Confirm with teaching staff that the requested samples of candidates' work have been submitted to the awarding body moderator by the published deadline.

Special educational needs coordinator/Additional & Exceptional needs coordinator

- For eligible candidates, ensure access arrangements are in place and awarding body approval, where required, has been obtained prior to assessments taking place.
- Work with teaching staff to ensure requirements for access arrangement candidates requiring the support of a facilitator in assessments are met.
- Ensure that centre staff acting as an access arrangement facilitator are fully trained in their role.

Risk management process

Potential risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Timetabling			
Assessment schedule clashes with other activities	Plan/establish priorities well ahead (e.g. at the start of the academic year)	Plan dates in consultation with centre calendar – negotiate with other parties	Assistant Head Teaching staff
Too many assessments close together across GCSE subjects	Plan assessments so they are spaced over the duration of the course	Space assessments to allow candidates some time between them	Assistant Head Teaching staff
Accommodation			
Insufficient space in classrooms for candidates	Once the size of the cohort is known at the start of the year, flag instances where regular classroom space may not be suitable to conduct assessments	Use more than one classroom or multiple sittings where necessary Arrange to conduct assessments in the main exam hall for the whole cohort (written exam conditions will not however apply to the assessment)	Teaching staff Teaching staff Exams officer
Insufficient facilities for all candidates	Careful planning ahead and booking of rooms / centre facilities	Use multiple sittings where necessary	Teaching staff
Downloading awarding body set tasks			
IT system unavailable on day of assessment	Download tasks well ahead of scheduled assessment date in all cases	Book IT equipment well ahead and download tasks before scheduled date of assessment	Teaching staff
Teaching staff unable to access task details OR Exams officer unable to access task details	Test secure access rights ahead of controlled assessment schedule every year and every session	Ensure teaching staff have access rights for the correct area of awarding body secure extranet sites well ahead of the controlled assessment schedule OR Ensure exams officer has access rights for the correct area of awarding body secure extranet sites well ahead of the controlled assessment schedule	Teaching staff OR Exams officer
Loss of task details in transmission	Download tasks well ahead of scheduled assessment date	Contact awarding body and ask for replacement task; download again	Teaching staff OR Exams officer
Absent candidates			
Candidates absent for all or part of assessment (various reasons)	Plan alternative session(s) for candidates	Seek awarding body guidance where candidate unable to attend alternative session	Teaching staff OR Exams officer
Absent teachers			
Teaching staff absent at key points in the assessment process	An individual record by teacher will be kept of progress in terms of	The exam contingency plan for <i>Teaching staff extended absence at</i>	SLT

	conducting the three assessment stages <ul style="list-style-type: none"> - task setting - task taking - task marking 	key points in the exam cycle will be implemented Appropriate cover will be arranged	Teaching staff
Control levels for task taking			
The assessment is undertaken under incorrect level of control (time, resources, supervision and collaboration)	Ensure teaching staff know what level is applicable and understand what is involved. Provide training if required Ensure teaching staff are fully familiar with the JCQ publication <i>Instructions for conducting controlled assessments</i>	Seek guidance from the awarding body	Head of Subject Teaching staff
Supervision			
Student study diary/plan not provided or completed*	Ensure teaching staff are aware of the need for study diary/plans to be completed early in course	Ensure candidates start, continue and complete study diary/plans that are signed after every session	Teaching staff
Teaching staff do not understand that the supervision of assessments is their responsibility	Ensure teaching staff fully understand the nature of assessments and their role in supervising assessments	Ensure teaching staff are fully familiar with the JCQ publication <i>Instructions for conducting controlled assessments</i>	Head of Subject Teaching staff
A suitable supervisor has not been arranged for an assessment where teaching staff are not supervising	A suitable supervisor must be arranged for any assessment session where a teacher is not supervising, in line with the awarding body's specification	A suitable supervisor will be arranged	Head of Subject Teaching staff
Task setting			
Teaching staff fail to correctly set tasks	Ensure teaching staff fully understand the task setting arrangements as defined in the awarding body's specification**	Seek guidance from the awarding body	Head of Subject Teaching staff
Assessments have not been moderated in line with the awarding body's specification	Check specification and plan required moderation appropriately	Seek guidance from the awarding body	Head of Subject Teaching staff
Security of materials			
Assessment tasks not kept secure before assessment	Ensure teaching staff fully understand the importance of task security	Contact the awarding body to request/obtain different assessment tasks	Head of Subject Teaching staff
Candidates' work not kept secure during or after assessment	Define the appropriate level of security, in line with the awarding body's requirements, for each department as necessary	Seek guidance from the awarding body	Head of Subject Teaching staff
Insufficient or insecure storage space	Look at provision for suitable storage at the start of the course	Find alternative storage within the centre	Head of Subject Teaching staff

Deadlines			
Deadlines not met by candidates	Ensure all candidates are briefed on deadlines and the penalties for not meeting them	Mark what candidates have produced by the deadline Seek guidance from awarding body on further action	Teaching staff
Deadlines for marking and/or paperwork not met by teaching staff	Ensure teaching staff are given clear deadlines (prior to the awarding body deadline) to complete marking/paperwork (Marks can then be processed and submitted ahead of awarding body deadlines)	Seek guidance from awarding body	Head of Subject Teaching staff Exams officer
Authentication			
Candidate fails to sign authentication form	Ensure all candidates have authentication forms to sign Ensure that the authentication form is securely attached to their work when it is completed and handed in for marking	Find candidate and ensure authentication form is signed	Teaching staff
Teaching staff fail to complete authentication forms or leave before completing the authentication process	Ensure teaching staff fully understand the importance of authentication forms and the requirement of a signature	Return the authentication form to the teacher for signature Ensure authentication forms are signed as work is marked	Head of Subject Teaching staff
Marking			
Teaching staff interpret marking descriptions incorrectly	Ensure appropriate training and practicing of marking Plan for sampling of marking during the practice phase	Arrange for re-marking Consult the awarding body's specification for appropriate procedures	Head of Subject Teaching staff
Centre does not run the standardisation activity as required by the awarding body	Plan against the awarding body's requirements for standardisation, i.e. when and how this activity must be conducted	Check with the awarding body whether a later standardisation event can be arranged	Head of Subject Teaching staff
Lost or damaged candidates' work			
Loss, damage to or destruction of assessment evidence before it can be marked	Assessment evidence will be safely stored at all times as per the JCQ and awarding body requirements	The exam contingency plan for <i>Assessment evidence is not available to be marked</i> will be implemented Seek guidance from the awarding body	Head of Subject Teaching staff Exams officer